

| | | | |
|--|---|--|----------------------------|
| Window | | U.S. Patent and Trademark Office Randolph Building 401 Dulany Street Customer Service Mail Stop PCT Alexandria, VA 22314 | ATTORNEY DOCKET NO. 234872 |
| | | CLIENT REF. NO. E-297-2002/0-US-02 | |
| | | U.S. APPLICATION NO. Unassigned 10/531145 | |
| INTERNATIONAL APPLICATION NO. PCT/US2002/033243 | INTERNATIONAL FILING DATE 15 October 2002 (15.10.2002) | PRIORITY DATE CLAIMED | |
| TITLE OF INVENTION METHODS OF PREPARING LYMPHOCYTES THAT EXPRESS INTERLEUKIN-2 AND THEIR USE IN THE TREATMENT OF CANCER | | | |
| APPLICANT(S) FOR DO/EO/US Liu et al.. | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)).</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).</p> <p>11. Nucleotide and/or Amino Acid Sequence Submission a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> Paper Copy c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</p> | | | |
| Items 12 to 19 below concern other document(s) or information included: | | | |
| <p>12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of References (except for U.S. patents and applications)</p> <p>13. <input type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>14. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input checked="" type="checkbox"/> Application Data Sheet Under 37 CFR 1.76</p> <p>18. <input checked="" type="checkbox"/> Return Receipt Postcard</p> <p>19. <input checked="" type="checkbox"/> Other items or information: Copy of PCT/US2002/033243 International Search Report</p> | | | |

| | | | |
|--|---|-------------------------------|---------------------------------------|
| U.S. APPLICATION NO. Unassigned | INTERNATIONAL APPLICATION NO. PCT/US 2002/033243 | ATTORNEY DOCKET NO. 234872 | CLIENT REF. NO. E-297-2002/0-US-02 |
| 20. The following fees are submitted: | | CALCULATIONS | PTO USE ONLY |
| <input checked="" type="checkbox"/> National Stage Filing Fee | | \$300.00 | |
| Search Fee | | \$100.00 | |
| <input checked="" type="checkbox"/> USPTO was ISA | | \$400.00 | |
| <input type="checkbox"/> Search report provided to USPTO by other entity | | \$500.00 | |
| <input type="checkbox"/> All other situations | | | |
| Examination Fee | | \$100.00 | |
| <input checked="" type="checkbox"/> US was IPEA and all claims satisfy PCT Article 33(1)-(4) | | \$100.00 | |
| <input type="checkbox"/> All other situations | | \$200.00 | |
| ENTER APPROPRIATE BASIC FEE AMOUNT= | | \$500.00 | |
| <input checked="" type="checkbox"/> Claim Fee | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total Claims | 32 -20= | 12 | x \$ 50.00 \$600.00 |
| Independent Claims | 2 - 3 = | 0 | x \$200.00 \$0.00 |
| <input type="checkbox"/> Multiple Dependent Claim(s) (if applicable) | | +\$360.00 | \$ |
| <input type="checkbox"/> Application Size Fee (\$250 for each additional 50 sheets over 100) | | | \$ |
| <input type="checkbox"/> Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date. | | | \$ |
| TOTAL OF ABOVE CALCULATIONS= | | \$1,100.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | \$() | |
| SUBTOTAL= | | \$1,100.00 | |
| <input type="checkbox"/> Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date. | | \$ | |
| TOTAL NATIONAL FEE= | | \$1,100.00 | |
| <input type="checkbox"/> Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property. | | + | \$ |
| TOTAL FEE= | | \$1,100.00 | |
| | | Amount to be: refunded | \$ |
| | | charged: | \$ |

a. No fee is believed to be due.

b. Please charge Deposit Account No. 12-1216 the total fee indicated above.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO.
45733: 

45733

Xavier Pillai, Registration No. 39,799
Leydig, Voit & Mayer, Ltd.
Two Prudential Plaza, Suite 4900
180 North Stetson Avenue
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)
Date: April 12, 2005

10/531145

JC12 Rec'd PCT/PTC 12 APR 2005

CERTIFICATION UNDER 37 CFR 1.10

"Express Mail" Label Number: EV420387205US Date of Deposit: April 12, 2005

I hereby certify that this transmittal document with respect to the U.S. national phase of the above-referenced International Patent Application, including all of the items listed thereon as enclosures, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

XAVIER PILLAI

Printed Name of Person Signing:

Xavier Pillai

Signature